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CONFIRMATION NO. 1548

<b>SERIAL NUMBER</b> 09/955,394	<b>FILING OR 371(c) DATE</b> 09/17/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3694	<b>ATTORNEY DOCKET NO.</b> 02821.P004X
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**APPLICANTS** ✓  
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**\*\* CONTINUING DATA \*\*\*\*\*** *verified me*  
 This application is a CIP of 09/151,715 09/11/1998 PAT 6,292,787

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*** *None me*

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED**  
**\*\* 10/25/2001**

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 10	<b>TOTAL CLAIMS</b> 44 42	<b>INDEPENDENT CLAIMS</b> 7 3
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35 USC 119 (a-d) conditions met ☐ yes ☒ no ☐ Met after Allowance

Verified and Acknowledged *marsh* Examiner's Signature Initials

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**TITLE**  
 Enhancing utility and diversifying model risk in a portfolio optimization framework

<b>FILING FEE RECEIVED</b> 1538	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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